

RECEIVED BY DOCKET DEPT. age 1 of 2

JUN 0 1 2004

FULWIDER PATTON LEE & UTECHT, LLP

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office: Address COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandris, Virginia 22313-1450
www.usplu.guv

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/664,490

LOS ANGELES, CA 90045

09/17/2003

John F. Boylan

ACS 65471 (2133XXD)

CONFIRMATION NO. 4845

FORMALITIES LETTER

OC000000012789465

24201 FULWIDER PATTON LEE & UTECHT, LLP HOWARD HUGHES CENTER 6060 CENTER DRIVE TENTH FLOOR

Date Mailed: 05/27/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration: Chichena Wana
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

\$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

09/02/2004 DENMANU1 00000002 10664490

01 FC:1051

130.00 OP

A copy of this notice MUST be returned with the reply.

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

PTO/SB/21 (04-04)

Approved for use through 07/31/2006. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

24

TRANSMIT [®]	TAL
FORM	

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/664,490
Filing Date	September 17, 2003
First Named Inventor	John F. Boylan et al.
Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	ACS 65471 (2133XXD)

	ENCLOSURES (check all that appl	(y)					
Fee Transmittal Form	Drawing(s)	After Allowance communication to Technology Center (TC)					
Fee Attached	Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences					
Amendment / Reply	Petition	Appeal Communication to TC (Appeal Notice, Brief, Reply					
After Final	Petition to Convert a Provisional Application	Proprietary Information					
Affidavits/declaration(s)	Power of Attorney, Revocation Change of Correspondence Address	Status Letter					
Extension of Time Request	Terminal Disclaimer	Other Enclosure(s) (please identify below):					
Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application	Request for Refund CD, Number of CD(s) Remarks CUSTOMER NO. 24201	Postcard					
Response to Missing Parts under 37 CFR 1.52 or 1.53							
SIGNATUR	RE OF APPLICANT, ATTORNEY, OR AGEN	ІТ					
Firm or Individual name James W. Paul, Reg. No. 29,967 FULWIDER PATTON LEE & UTECHT LLP Signature							
Date August 27, 2004							
CE	RTIFICATE OF TRANSMISSION/MAIL	ING					

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

the date shown below: Typed or printed name

James W. Paul, Reg. No. 29,96

August 27, 2004 Date

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (10-03)
Approved for use through 07/31/2006. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
nd to a collection of information unless it displays a valid OMB control

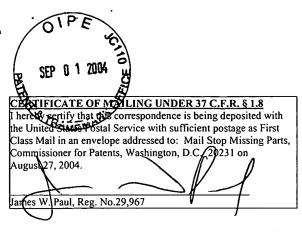
Onlder the Paperwork Reduction Act of 1995, no person	ris are req	ulted to respond to a collection	on or information unless it displays a valid OWB Control	1		
FEE TRANSMITTA		Complete if Known				
	`	Application Number	10/664,490			
for FY 2004		Filing Date	September 17, 2003			
Effective 10/01/2003. Patent fees are subject to annual revision.		First Named Inventor	John F. Boylan et al.			
Applicant claims small entity status. See 37 CFR 1	1.27	Examiner Name	Unassigned			
		Art Unit	Unassigned			
TOTAL AMOUNT OF PAYMENT (\$) \$2	240.00	Attorney Docket No.	ACS 65471 (2133XXD)	_		

ME	THOD	OF	PAYMENT	(check all that apply)	FEE CALCULATION (continued)								
Check	Credi	t card	Mone	Other None	3. AI	DITIO	NAL	FEES					
Deposit /	 Account:		y	_	Large I		Smal Fee	LEntity	~				
Deposit					Fee Code	Fee (\$)	Code	Fee (\$)	Fee	Description	on		Fee Paid
Account Number			06-2425	5	1051	130	2051	65	Surcharge - late	e filing fee o	r oath		130.00
Deposit Account	E	i.d.	n Dotton I	ee & Utecht	1052	50	2052	25	Surcharge - late sheet	e provisiona	I filing fee o	or cover	
Name	rui	WIU	er ration L	ee & Otecht	1053	130	1053	130	Non - English s	pecification			
The Director is	s authoriz	ed to:	(check all l	that apply)	1812	2,520	1812	2,520	For filing a requ	nination			
Charge fee	e(s) indica	ted bel	ow Cred	it any overpayments	1804	920*	1804	920*	Requesting pub Examiner action		SIR prior to		
I =) or any underpays		1805	1,840*	1805	1,840*	Requesting pub	olication of S	SIR after Ex	aminer	
<u> </u>			ow, except for the	e filing fee	1251	110	2251	55	Extension for re	eply within fir	rst month		110.00
to the above-id	•				1252	420	2252	210	Extension for re			th	
			ALCULATIO	<u>N</u>	1253	950	2253	475					
1. BASIC			_			1,480	2254	740				1	
Large Entity Fee Fee			y Fee Descripti	ion		2.010	2255	1.005	Extension for re				
Code (\$)			, 00 D 000p	Fee Paid				•		• •	iai monai		
1001 770	2001	385	Utility filing fee		1401	330	2401		Notice of Appea				
1002 340	2002	170	Design filing fe	ee	1402	330	2402		•		in appeai		
1003 530	2003	265	Plant filing fee		1403	290	2403						
1004 770	2004	385	Reissue filing			1,510		1,510					
1005 160	2005	80	Provisional filin	ng fee	1452	110	2452		55 Petition to revive - unavoidable				
		SUE	BTOTAL (1)	(\$)	1453	1,330	2453	665	Petition to reviv	e - unintenti	ional		
2. EXTRA CLAIM FEES FOR UTILITY AND				1501	1,330	2501	665	Utility issue fee	tility issue fee (or reissue)				
Fee from				1502	480	2502	240	Design issue fee					
	Ex	tra Ci		below Fee Paid	1503	640	2503	320	Plant issue fee				
Independent	Total Claims				1460	130	1460	130	Petitions to the Commissioner				
Claims Multiple Depe	ndent	- 3	- <u>L. W</u> ^L	= 0.00	1807	50	1807	50	Processing fee under 37 CFR § 1.17(q)				
Large Entity		Entit	ν •		1806	180	1806	180	Submission of I Statement	nformation (Disclosure		
Fee Fee Code (\$)	Fee	Fee	Fee Des	cription	8021	40	8021	40	Recording each			r	
1202 18	2202	9	Claims in exce		1809	770	2809	385	property (times number of properties) 5 Filing a submission after final rejection (37 CFR § 1.129(a))				
1201 86 1203 290			•	laims in excess of 3 ident claim, if not paid	1810	770	2810	385	For each addition	onal invention	on to be exa	amined	
1203 250		-		ependent claims	1801	770	2801	385	(37 CFR § 1.1 Request for Co		mination (F	RCE)	
1204 00	2204	73	over original		1802	900	1802	900	Request for exp		•	- ,	
1205 18	2205	9	** Reissue clai	ims in excess of 20 ginal patent			specify		of a design app				
		SUB	TOTAL (2)	(\$) \$0.00		Si 186 (ороспу	′		-		_	
						duced b	y Basi	Filing	Fee Paid	SUBTO	TAL (3)	(\$)	\$240.00
SUBMITTED BY					71		4			Complete (if applicable)		
					Registr	ation N	о.	29,967	Telephon		(310) 824-	5555	
	_		Value	1	(Alloffgy/Agent)								
Signature				\sim	1 -	1				Date	At	ıgust 27, 2	004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

: 10/664,490

Confirmation No. 4845

Applicants

: John F. Boylan et al.

Filed

: September 17, 2003

Title

: EMBOLIC PROTECTION DEVICES

Art Unit Examiner

: Unassigned: Unassigned

Docket No.:

: ACS 65471 (2133XXD)

Los Angeles, California

Customer No.

: 24201

August 12, 2004

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Dear Sir:

This paper is responsive to the Notice to File Missing Parts of Nonprovisional Application dated May 27, 2004, the response to which is due on August 27, 2004 with a one-month extension of time.

Applicants submit herewith a copy of the Declaration/Power of Attorney from parent application serial number 09/727,102 filed November 28, 2000 (now U.S. Patent No. 6,702,834 B1 issued March 9, 2004) showing the signature of inventor Chicheng Wang dated May 4, 2001, and Part 2 of the Notice to File Missing Parts of

Response to Notice to File Missing Parts, August 27, 2004 In response to Notice dated May 27, 2004

Nonprovisional Application. Our check in the amount of \$240.00 to cover the late oath or declaration surcharge and a one-month extension of time fee is enclosed.

Please credit any overpayment or charge any additional fees in this matter to our Deposit Account No. 06-2425. A duplicate of this paper is enclosed.

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

James W Paul

Registration No. 29,967

THM:JWP:kh

Howard Hughes Center 6060 Center Drive, Tenth Floor Los Angeles, CA 90045 Telephone: (310) 824-5555

Facsimile: (310) 824-9696

Customer No. 24201